

part of eex group



Application to extend the recognition as Non-Trading Broker to the Markets of the European Energy Exchange (EEX) and/or EEX OTF (for already recognized companies only)

05.09.2024

Leipzig

Ref.A08a
Release 011

- only required as scan -

Application to extend the recognition as Non-Trading Broker

Name of the Non-Trading Broker	Member ID
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We hereby apply for an extension of the existing recognition as a Non-Trading Broker (NTB) to all markets and products, for which trade registration is offered at the European Energy Exchange (EEX) and EEX OTF:

as soon as possible

as of requested date (dd.mm.yyyy):

The recognition as a Non-Trading Broker only includes the authorisation to enter trades in the trading system on behalf and in the name of participants for which Trade Registration functionality is offered.

A precondition for this application is to have an existing recognition as Non-Trading Broker at EEX.

This application is an addendum and extension to our recognition at EEX. The regulations governing our recognition also apply to this addendum. For the EEX OTF Market the respective Rules and Regulation of the EEX OTF will apply accordingly.

Should any information in the main application require updating, this shall be done by submitting updated documentation to EEX, as necessary.

Furthermore, we will annex a current excerpt of the commercial register to this application. The respective price lists of the EEX group are applicable.

We authorise the Management Board of the Exchange EEX and the operating companies of the Exchange and the EEX OTF to exchange information and data regarding the memberships within the EEX Group as well as within the Deutsche Börse Group. The authorisation for the exchange of information and data also includes the exchange of personal data and information of the persons named in section 4.1 of the main application. We confirm that these persons have granted their approval for exchanging information and data within the EEX Group and within Deutsche Börse Group. We will inform EEX about any modification of this authorisation immediately.

Place	Date	Legally binding signature(s) of applicant
		<p><i>Name(s) of Signatory/ies:</i></p>